

# HOW TO COMBINE INDUCTIVE AND DEDUCTIVE APPROACHES TO PREDICTION IN UNGAUGED BASINS



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# PHILOSOPHIES OF MODELLING



## **Inductive Approach – Top Down**

- Analyses processes based on data (e.g. dominant responses) at larger scales (e.g. basin) and then, if needed, make inferences about processes at smaller scales.




## **Deductive Approach – Bottom-Up**

- Analyses processes at smaller scales using physical laws, and then extrapolates the process at larger scales using aggregation techniques.

# PHILOSOPHIES OF MODELLING

## Inductive Approach – Top Down

- 
- Model structure is defined at the level of interest and it is inferred from data.
  - Representation of basin processes → finding the simplest descriptions of the dominant responses of the system that are supported by both the available data and physical understanding.
  - Used to describe the hydrological response at long temporal scale and large spatial scale (e.g. annual time and basin scale) and progressively narrowing down to processes at smaller scales.
  - Reduce data requirements and limit model complexity
  - Simple ‘parsimonious’ models → Lumped & Conceptual
  - Difficulties in capturing all important processes
  - Too “parsimonious” to properly describe heterogeneity<sup>3</sup>

# PHILOSOPHIES OF MODELLING

## Deductive Approach – Bottom Up

- Model structure is preconceived
- Based on deterministic mathematical equations founded on scientific laws
- Assumes that conceptualisations of individual processes are equivalent for the overall model domain.
- More realistic → physically based structure
- More complex models → able to describe different processes at different scales in time and space.
- Problems with parameter identifiability and with the different sources of uncertainties
- Too complex to support engineering and management decisions.

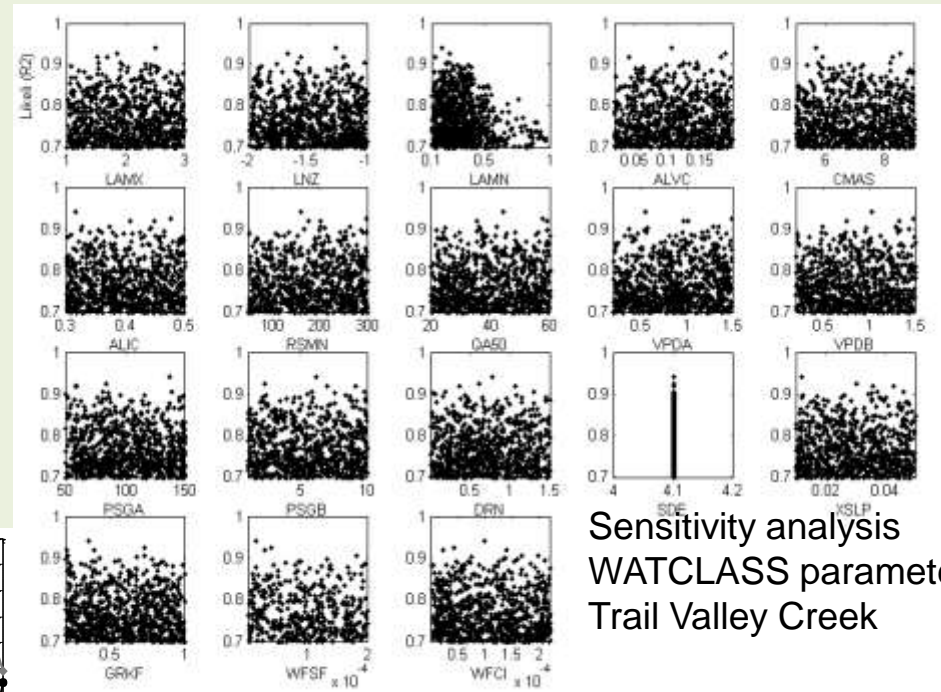
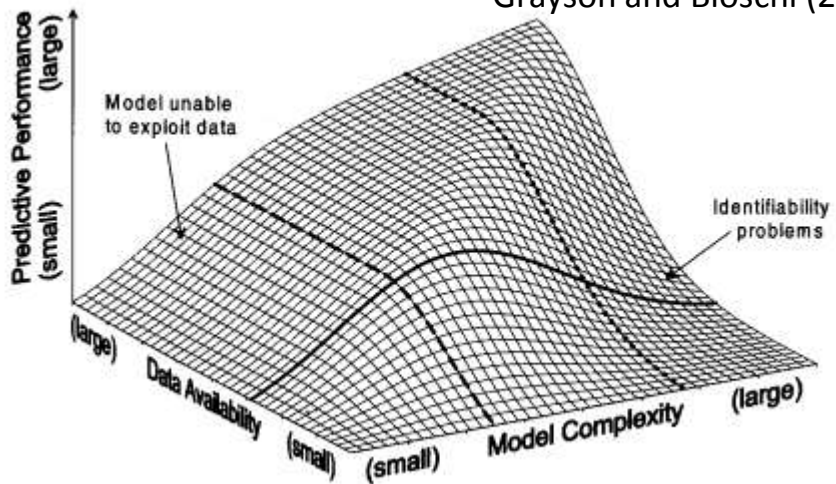


# HYDROLOGICAL MODELS

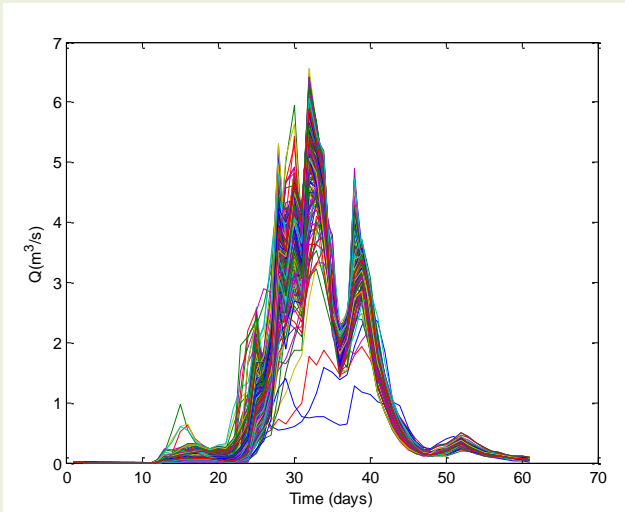
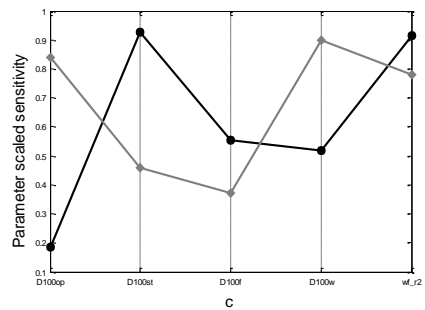
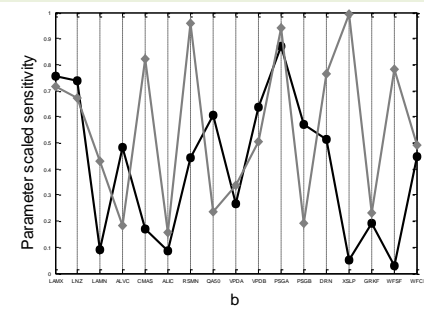
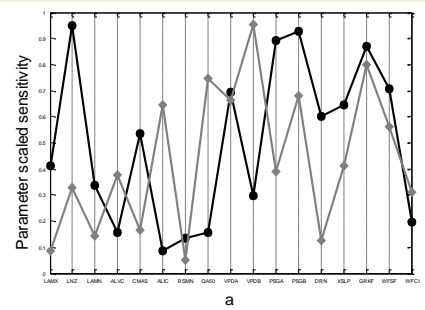
- Plethora of models
  - **Lumped or Distributed**
  - **Deterministic or Stochastic**
- **Conceptual**
- **Empirical**
- **Statistical**
- **Physically Based**
- **Nonlinearity**
  - Some Processes → still inadequately parameterised
  - Some Parameters → still conceptual
- **Scaling**
  - Lack of a scale consistent process descriptions
- **Uniqueness – Equifinality**
- Identifiability problems. Different parameter sets → similar performance
- **Uncertainty**
  - Predictions constrained by data, model structure, and parameters

# MODEL COMPLEXITY – DATA - MODEL PERFORMANCE

Grayson and Blöschl (2001)



Sensitivity analysis  
WATCLASS parameters  
Trail Valley Creek



# SCALING ISSUES

- **Hydrological process at a range of scales**

- Small length scales area associated with short times
- Large length scales area associated with long times

Not always happens

Infiltration excess → Point scale phenomena

Saturation excess → Lateral flow → Area associated with the process

- **Mismatch between scales:**

- Observation scales
- Process scales
- Modelling scales



Scaling (up-down)  
Transference of information

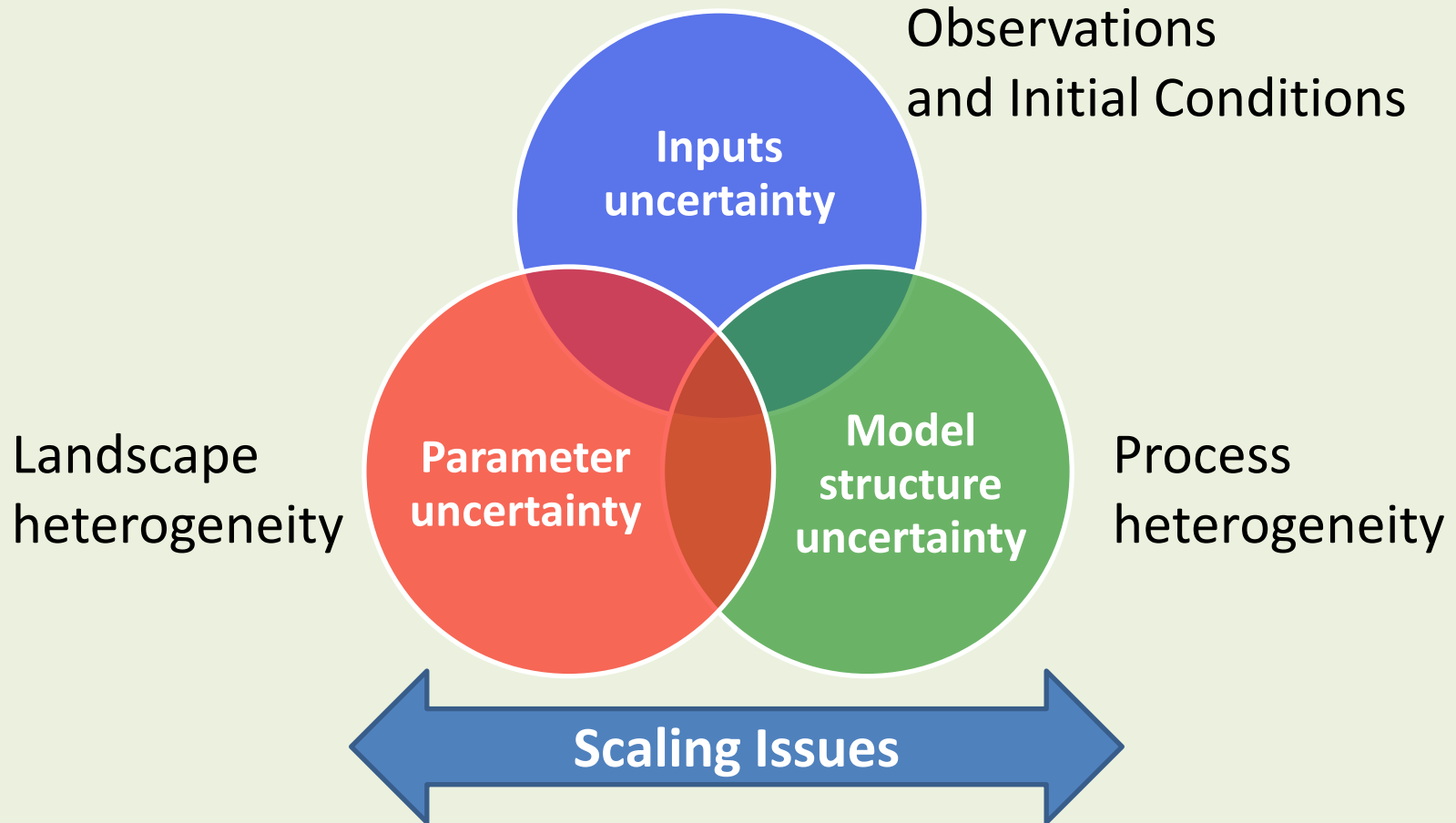
- **Scaling is limited by spatial heterogeneity and variability in hydrological process environments**

Definition →

**Effective parameters**



# PREDICTIVE UNCERTAINTY



- This situation becomes even more important in cold regions areas due the **ungauged nature of arctic and subarctic environments**.
- New strategies that combine detailed process understanding with an overall knowledge of the system are needed.



# STUDY AREA



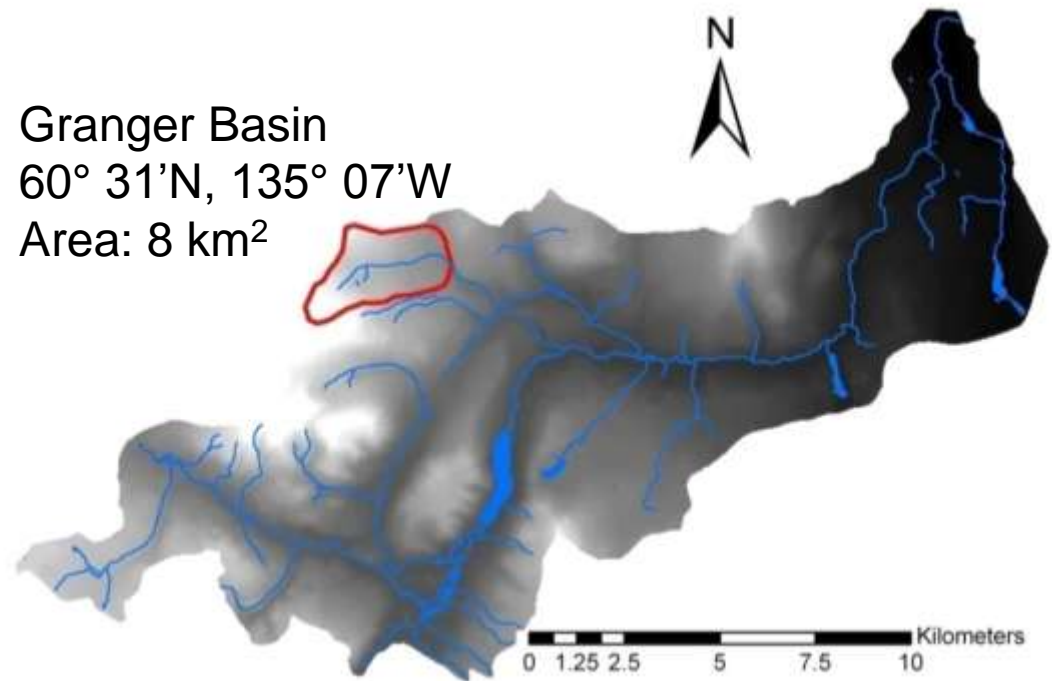
## Wolf Creek Research Basin

60° 31'N, 135° 07'W

Area: 195 km<sup>2</sup>



Granger Basin  
60° 31'N, 135° 07'W  
Area: 8 km<sup>2</sup>



# ISSUES IN SUBARCTIC ENVIRONMENTS

## **Snow :**

- Reflects solar radiation
- Insulates the ground
- Stores water and nutrients
- Has high temporal and spatial variability

## **Topography**

- Exerts a control in snowpack and soil energy balances due to the spatially varying incoming solar radiation and temperature.
- Control snow redistribution processes

## **Vegetation :**

- Traps falling and wind-blown snow
- Masks underlying snow

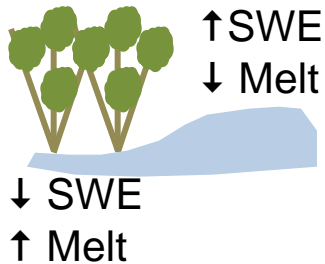
## **Permafrost**

- Affects snowmelt runoff generation
- Soil energy and mass balance

# SCALING ISSUES IN SUBARCTIC ENVIRONMENTS

## Small scale

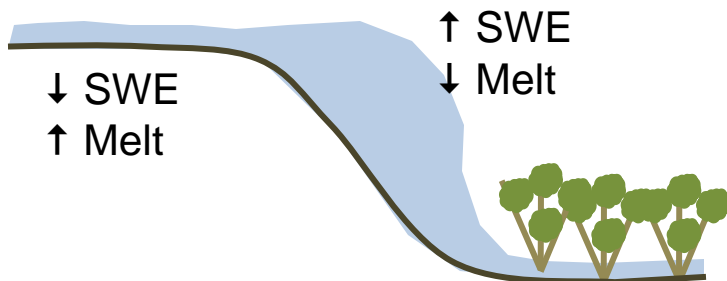
Negative association Melt-SWE



Underestimation of melt duration 14%

## Medium (Landscape) scale

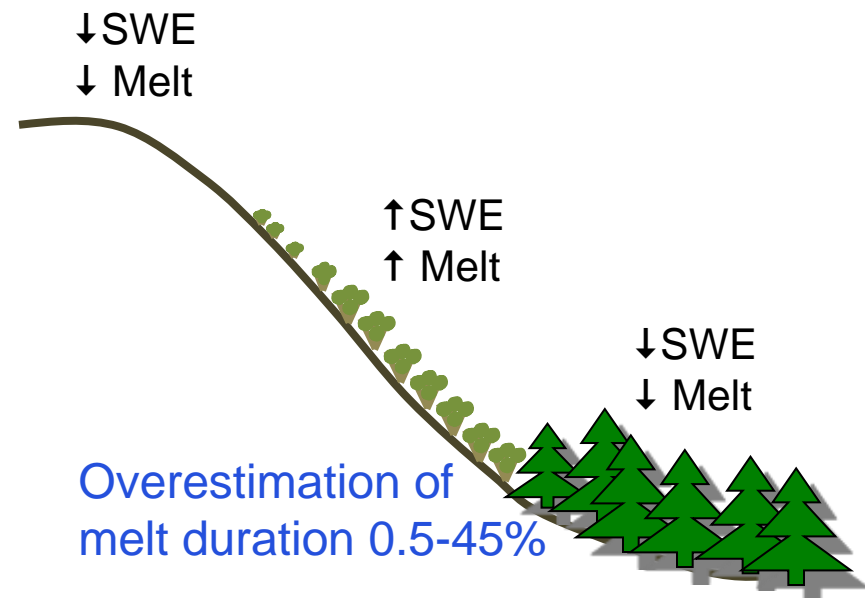
Negative association Melt-SWE



Underestimation of melt duration 4%

## Large (basin) scale

Positive association M-SWE



Overestimation of melt duration 0.5-45%

# MODELLING OBJECTIVES

- Definition of an appropriate **modelling strategy** in complex subarctic environments.
1. Definition of an optimum representation of the **spatial heterogeneity** that would allow the scaling from point scale observations to catchment scale models in complex subarctic environments.
  2. Effects of **spatially distributed solar forcing** and **initial snow conditions**.
  3. Identification of **stable model parameterisations** using a landscape-based approach.

# MODELLING METHODOLOGY

- **Distributed and Physically Based** → capture processes dynamics
- **Link mass and energy balances** → dominant structures in each of these different contexts are different



Combination of Top-Down and Bottom-Up Approaches

# MODELLING METHODOLOGY



Inductive  
Approach

basin segmentation

Landscape based

**Topography – vegetation**

- Snow accumulation regimes
- Blowing snow transport
- Snowmelt energetics
- Snow interception
- Runoff generation/response



Deductive  
Approach

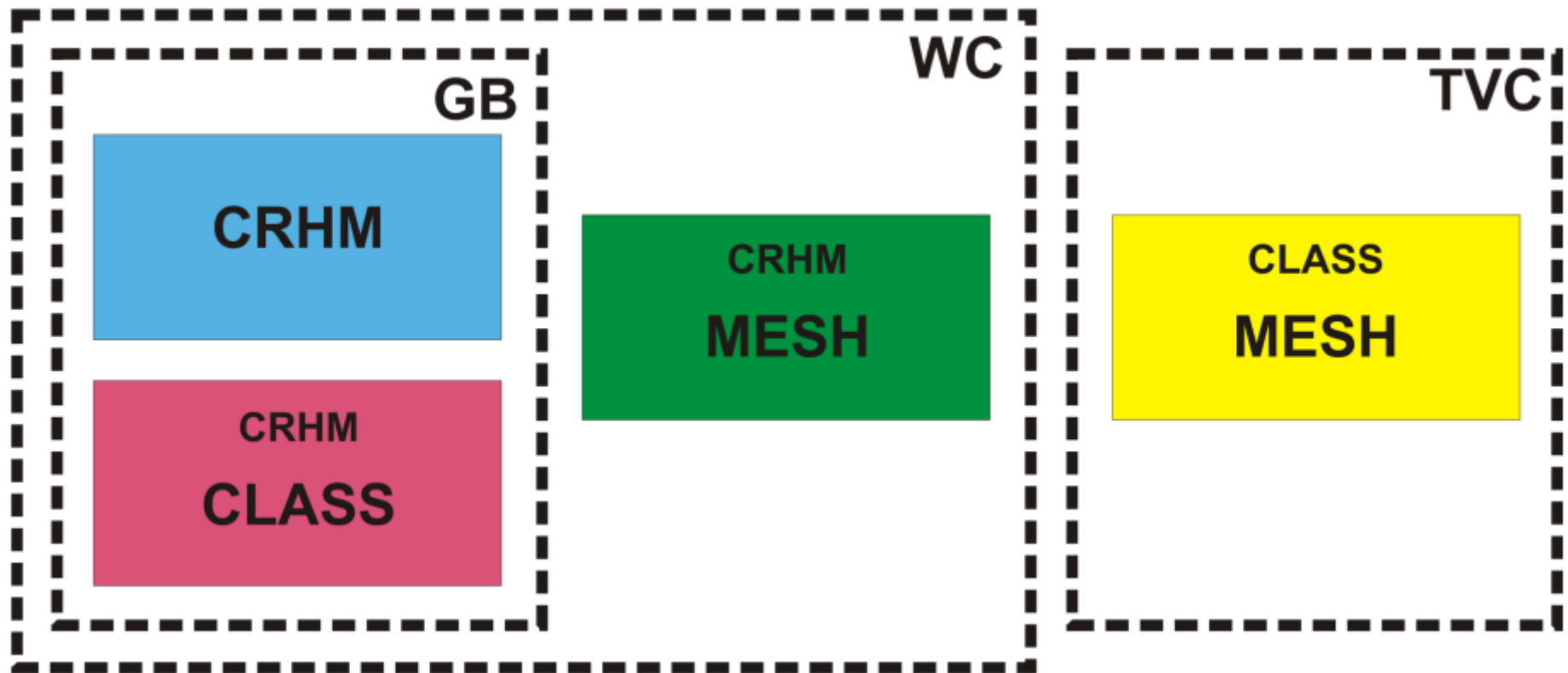
process descriptions

Detail process understanding  
In cold regions research basins  
(e.g. WC, TVC, prairies)

# MODELLING METHODOLOGY

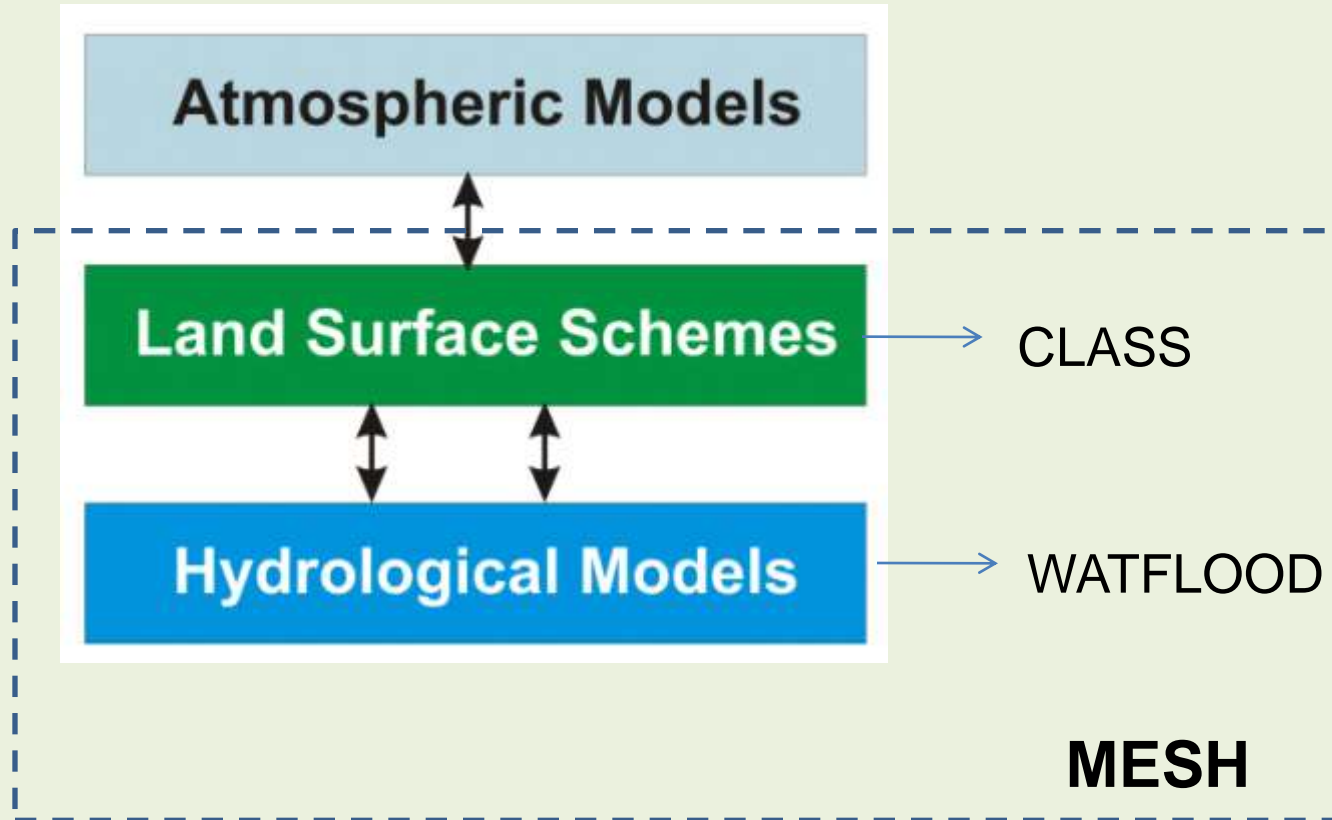
Three models:

- Small-scale physically based Hydrological Model (CRHM)
- Land Surface Scheme (CLASS)
- Land Surface Hydrological Model (MESH)





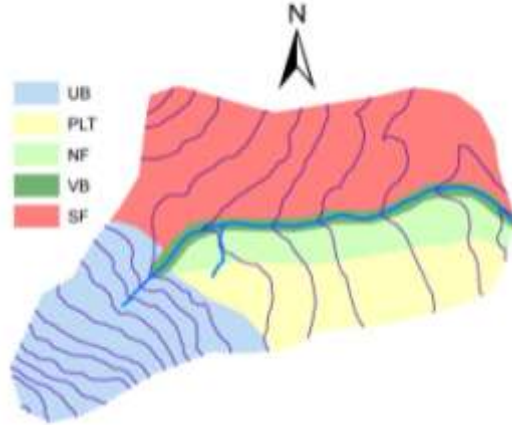
# LAND SURFACE HYDROLOGICAL MODELS



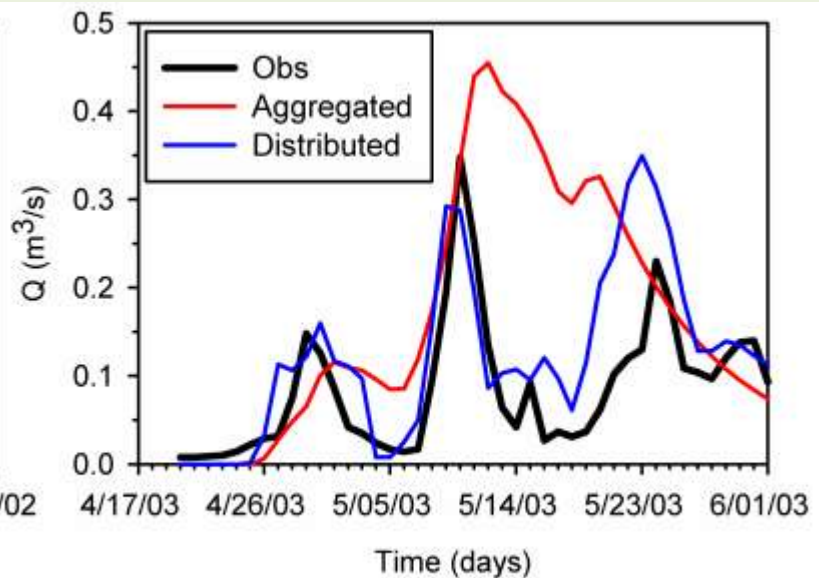
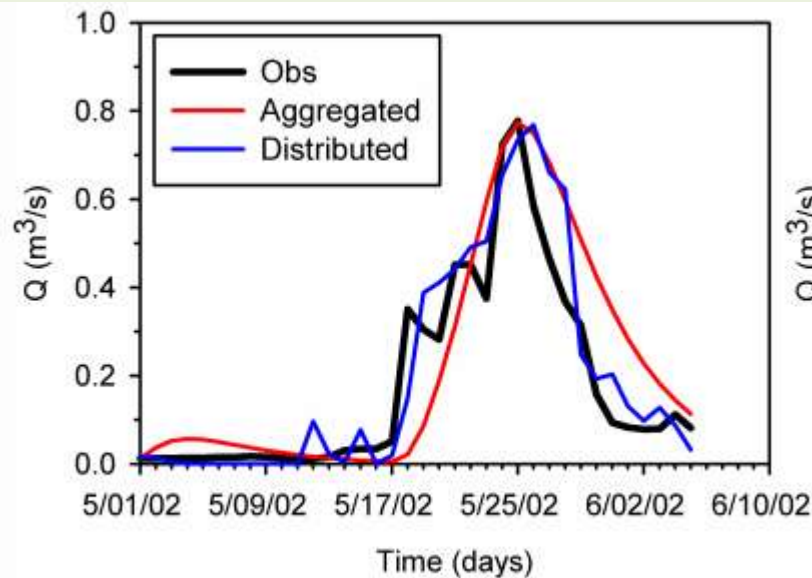
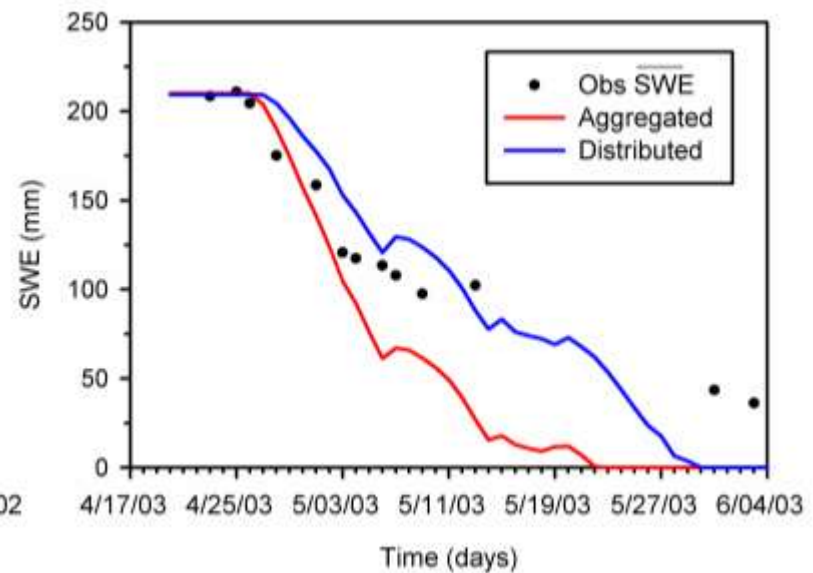
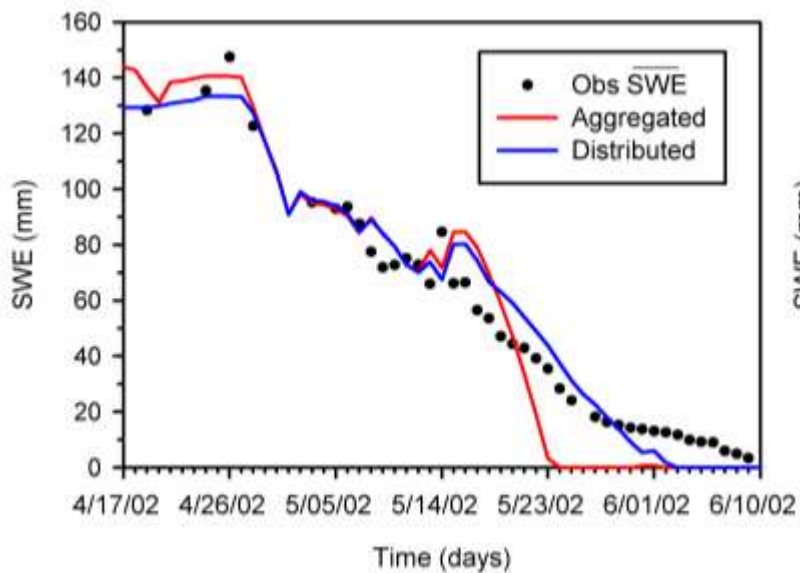
# LANDSCAPE HETEROGENEITY



Granger Basin



# SNOWCOVER ABLATION AND SNOWMELT RUNOFF USING CRHM

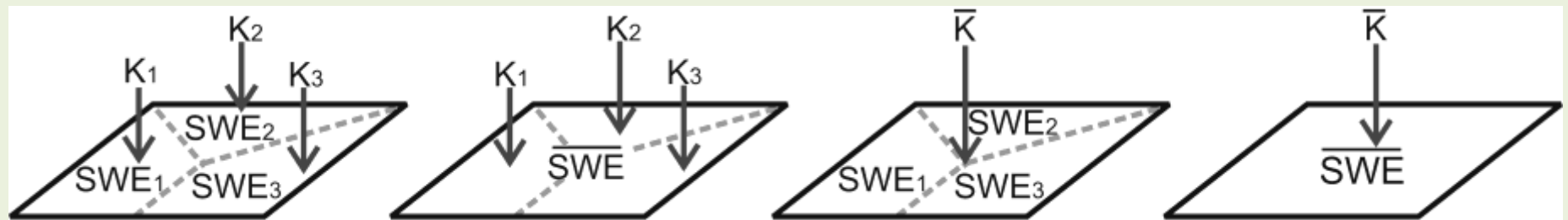
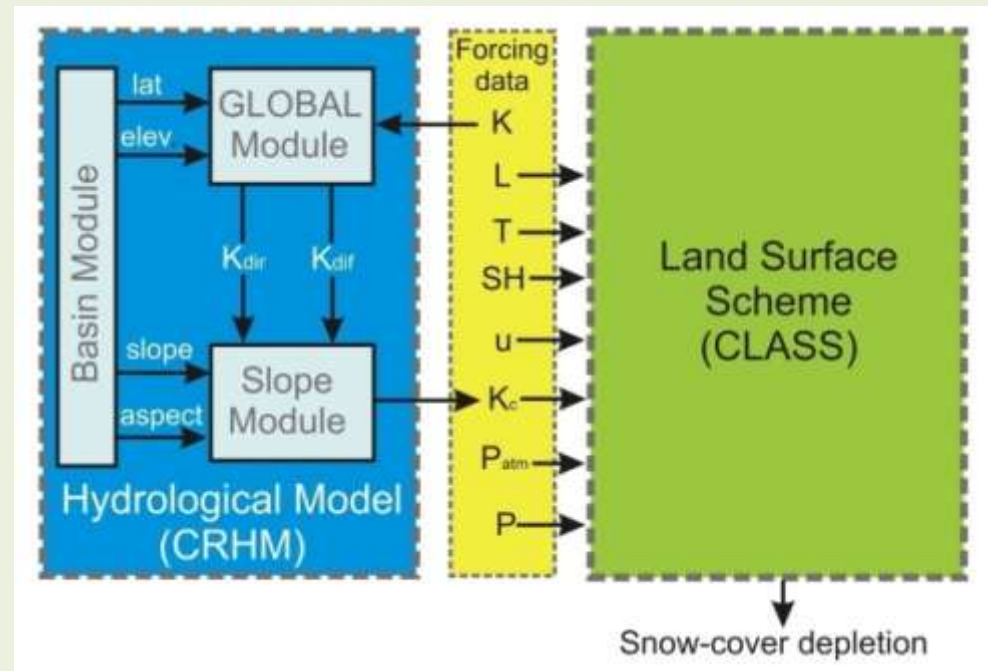
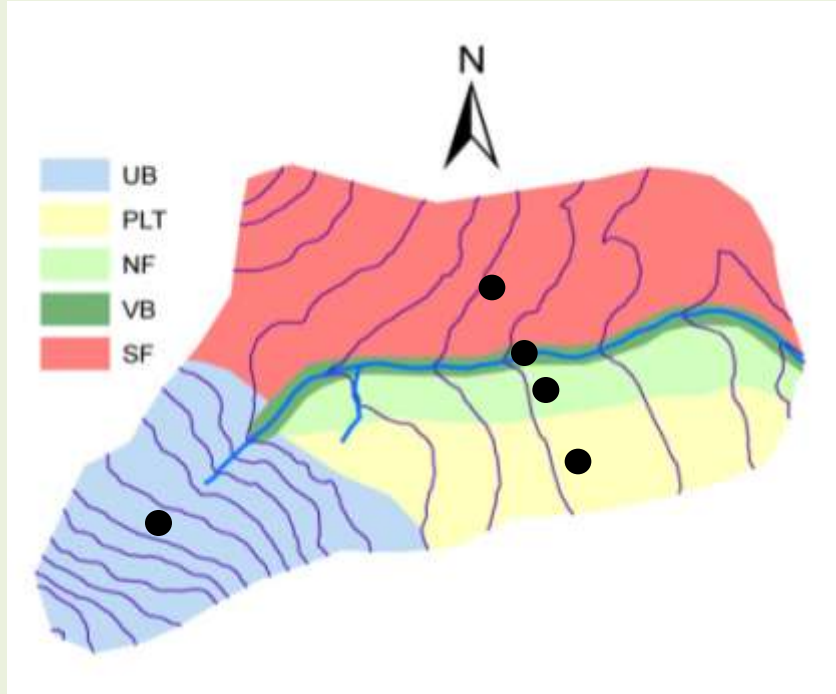


(a)

(b)

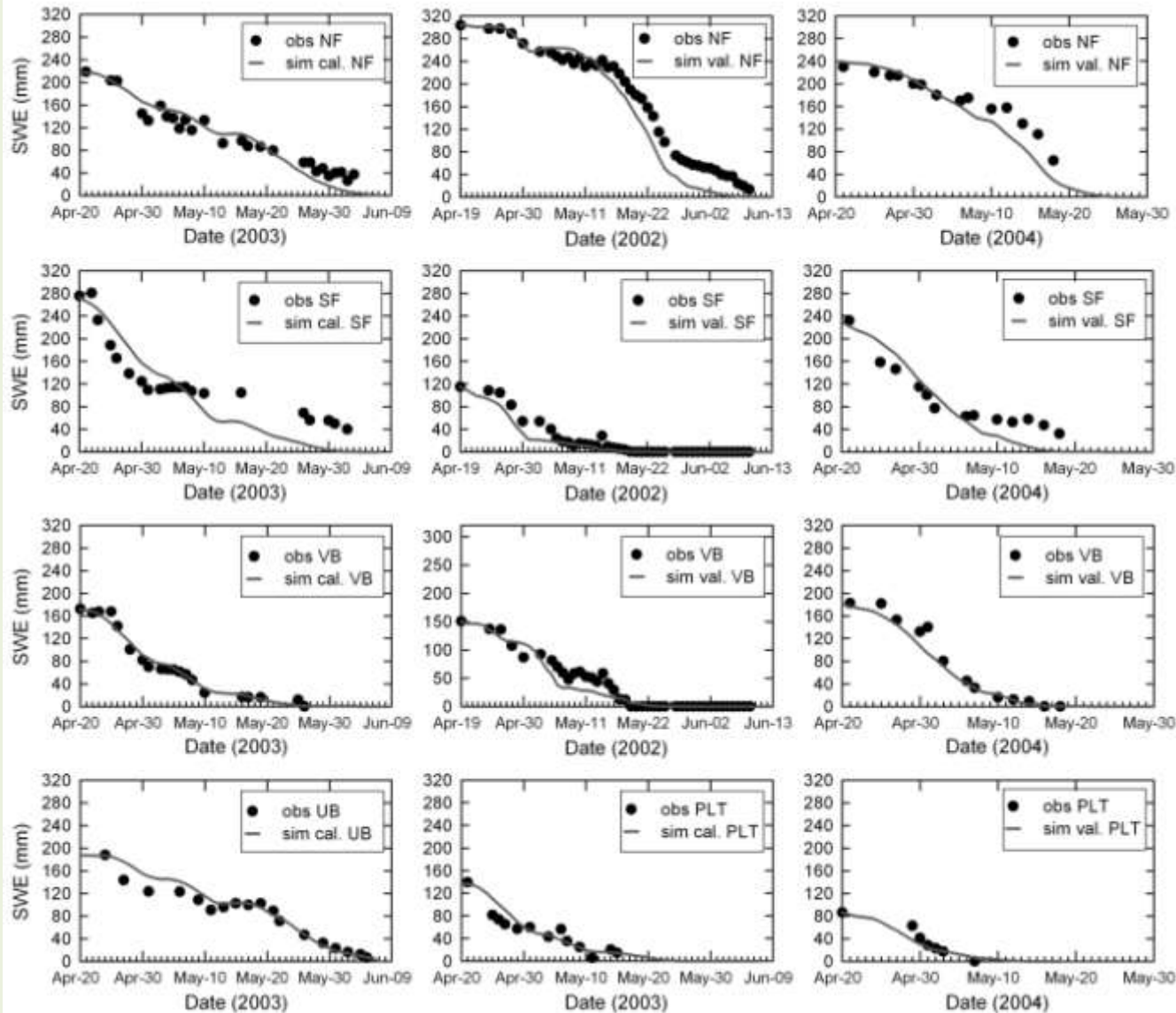
# LAND SURFACE SIMULATIONS

Snowcover ablation using 1D landscape based CLASS simulations

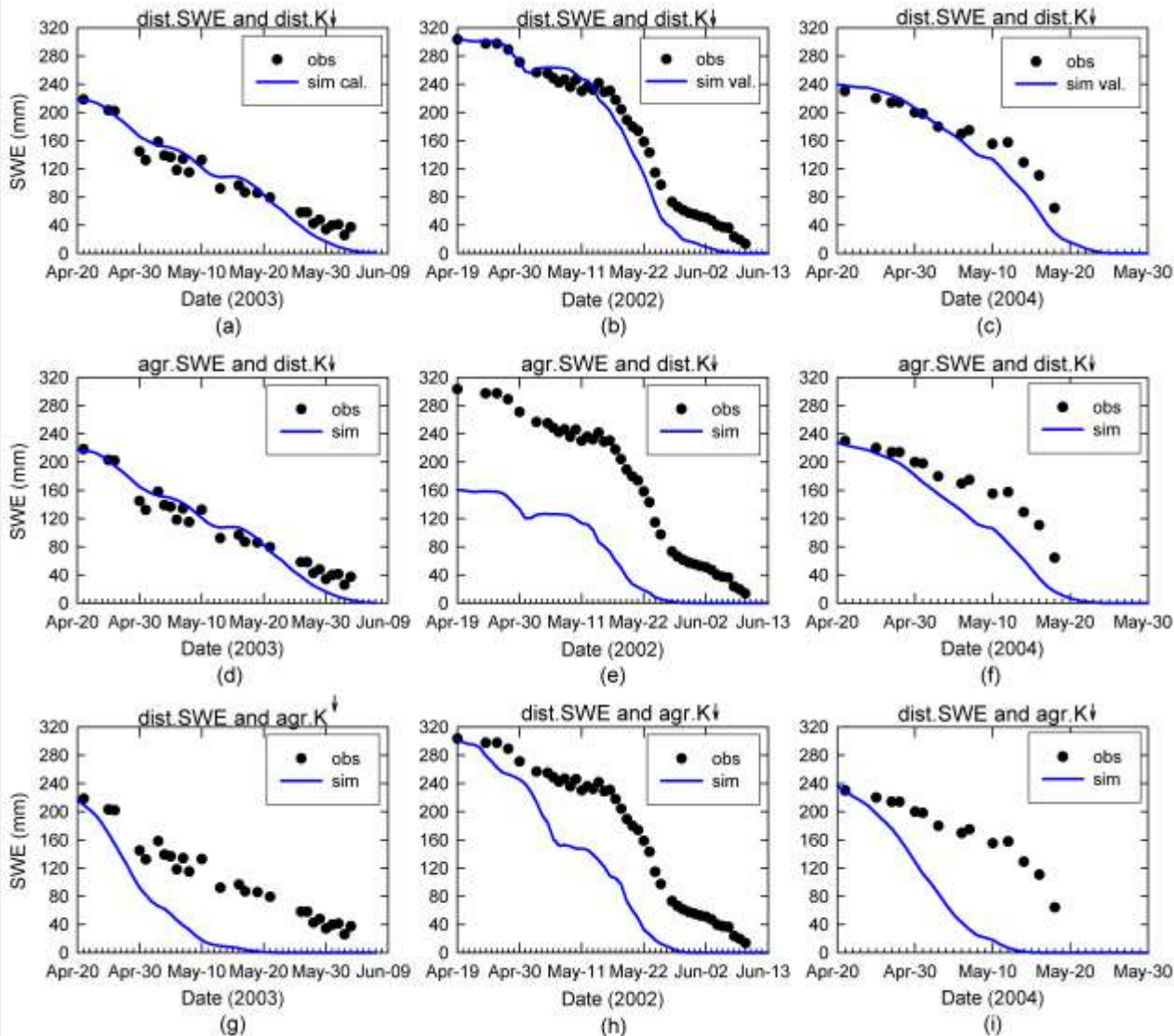




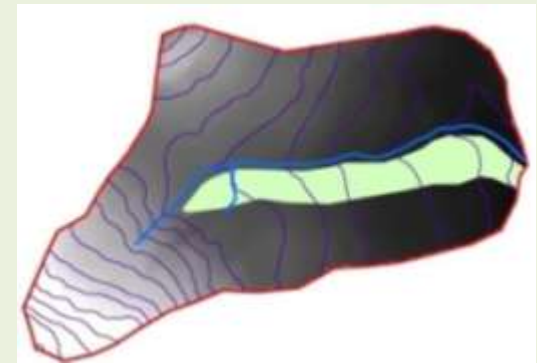
# SNOW COVER ABLATION USING CLASS



# INITIAL CONDITIONS AND SOLAR FORCINGS



North facing slope

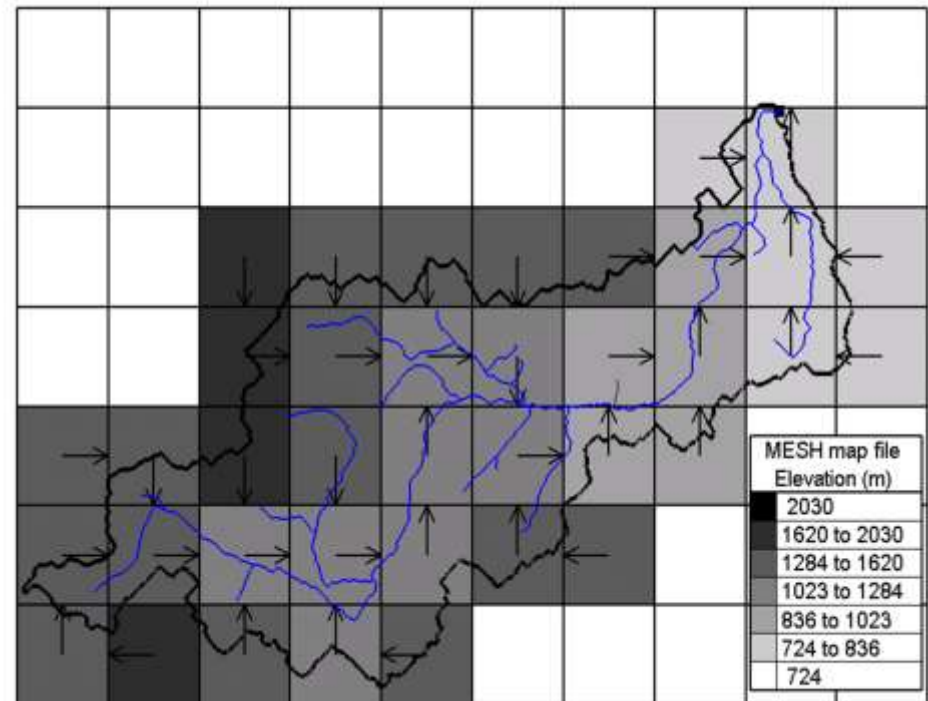
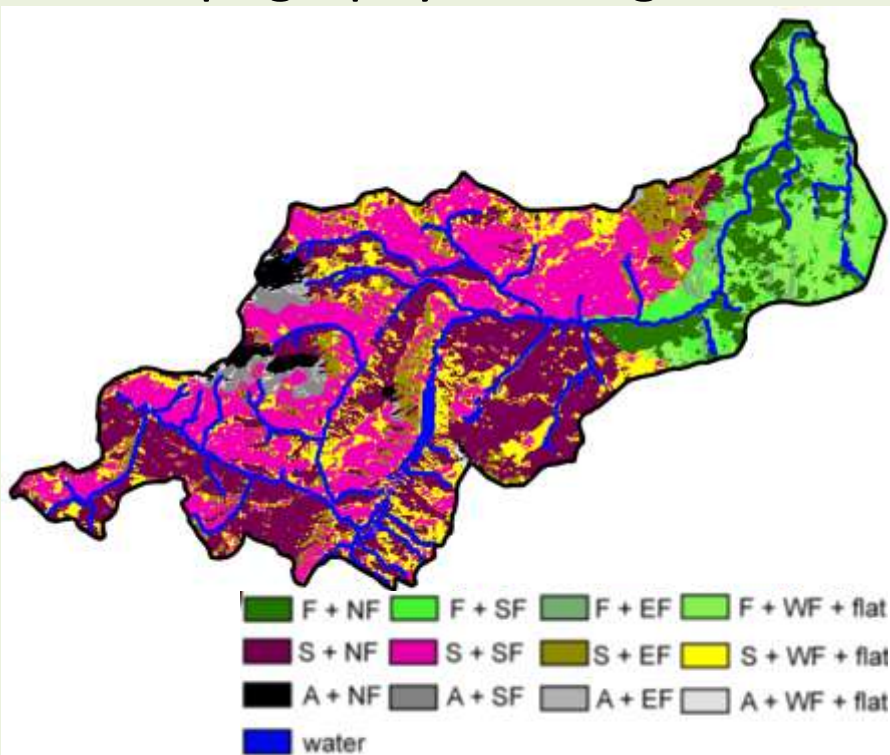


# HYDROLOGICAL LAND SURFACE SIMULATIONS

Snowcover ablation and Snowmelt runoff using MESH

Spatial representation based on the GRU approach

- Definition of GRU based on:
  - Topography and vegetation cover

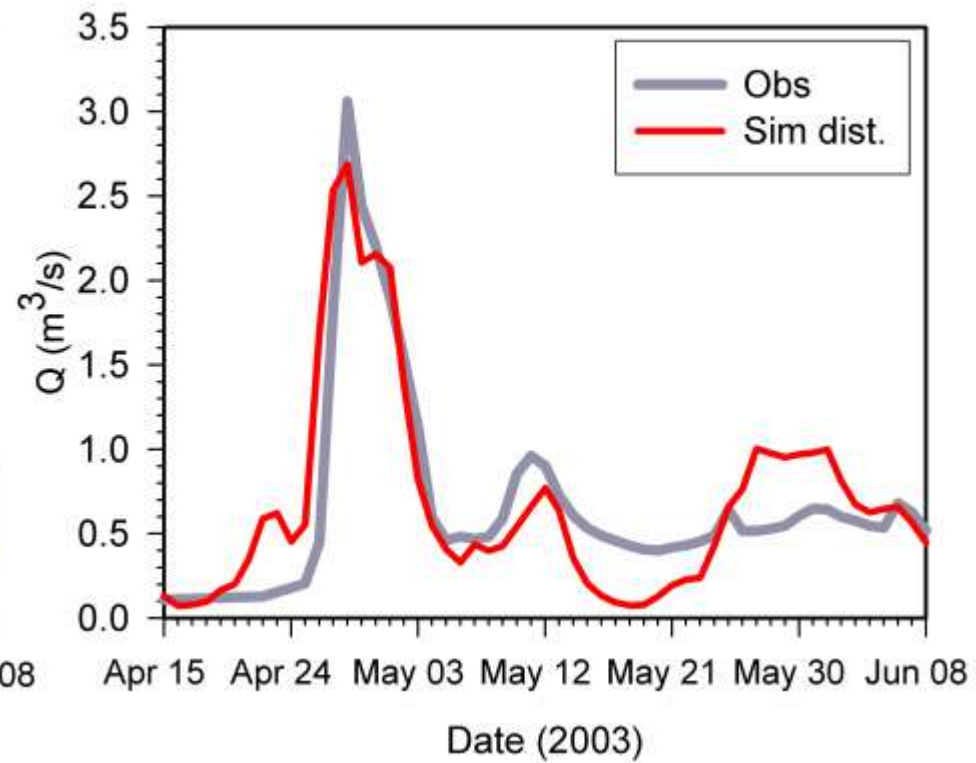
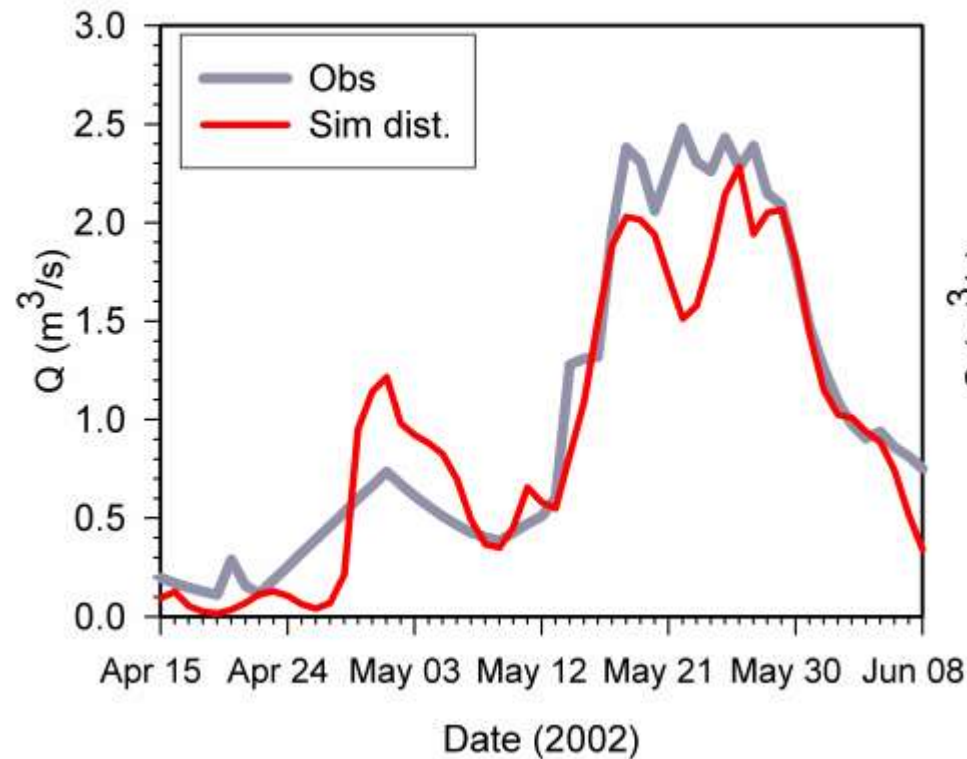


Grid size 3 km x 3 km



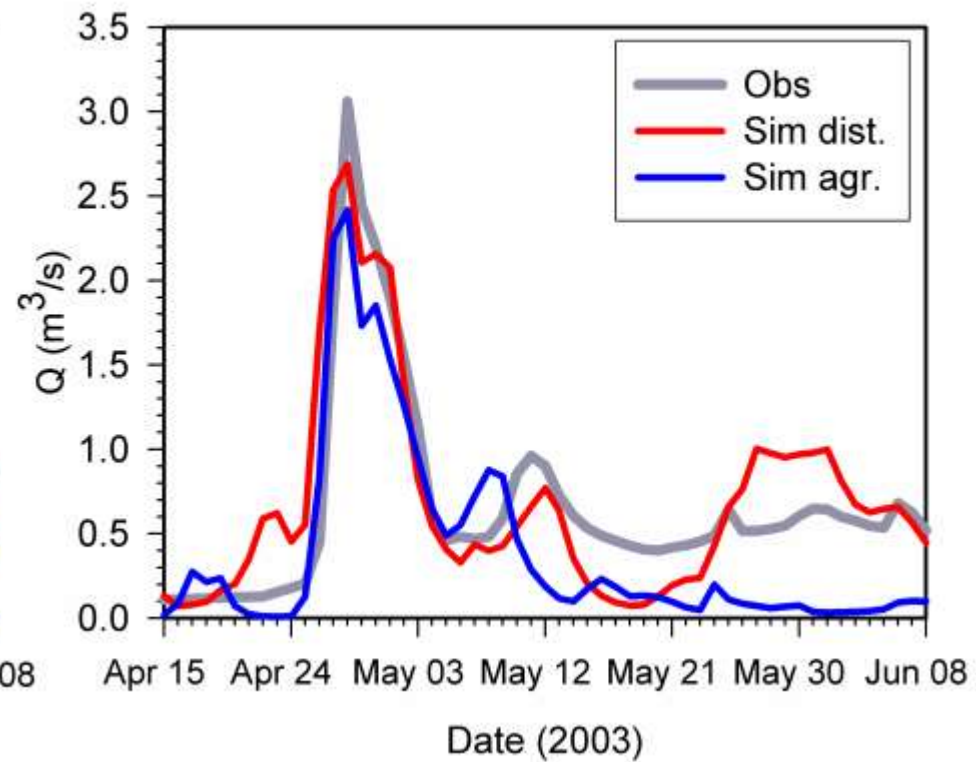
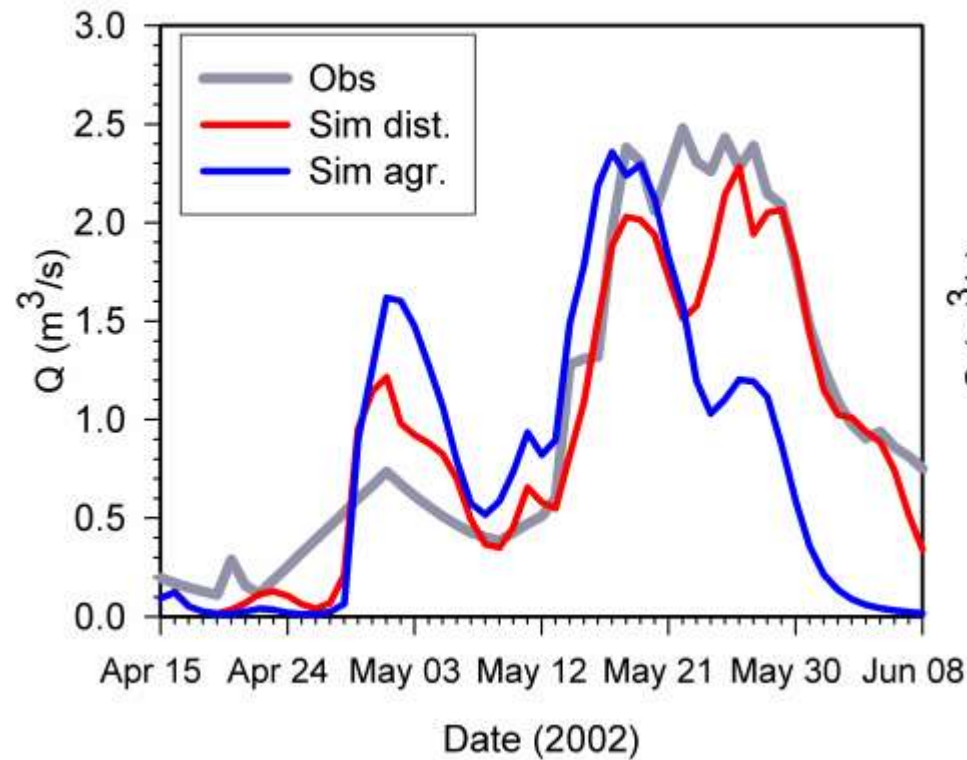
# BASIN STREAMFLOW SIMULATIONS

Wolf Creek Reserach Basin



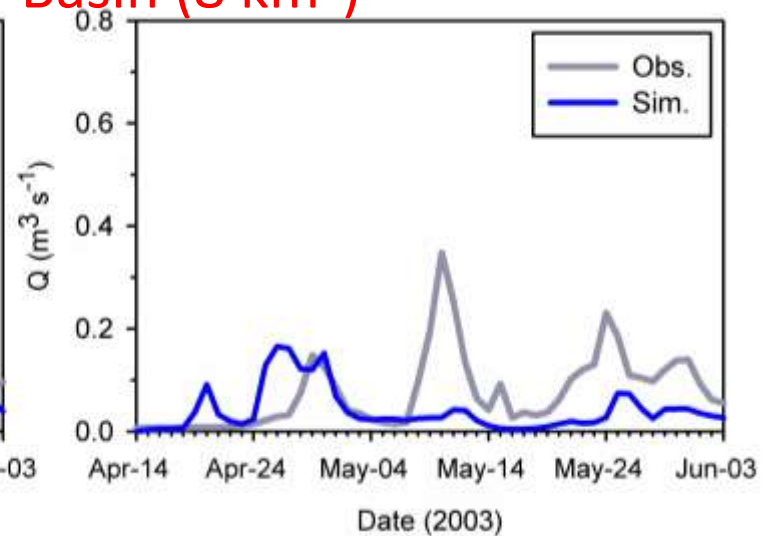
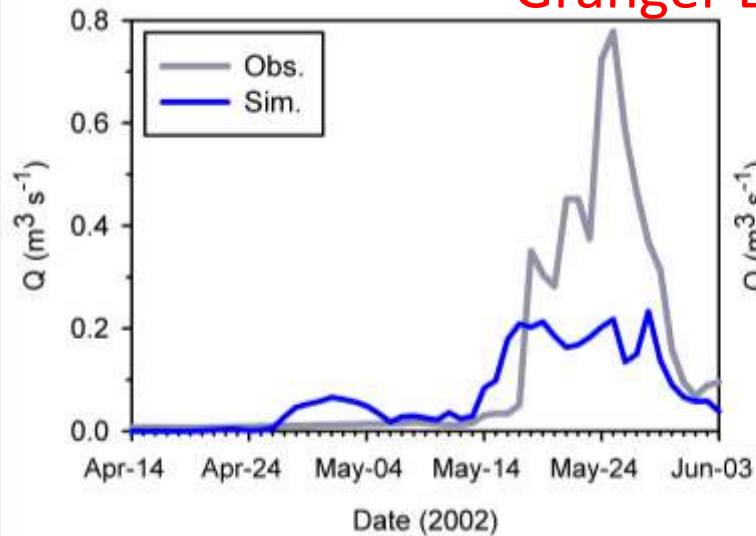
# BASIN STREAMFLOW SIMULATIONS

Wolf Creek Reserach Basin

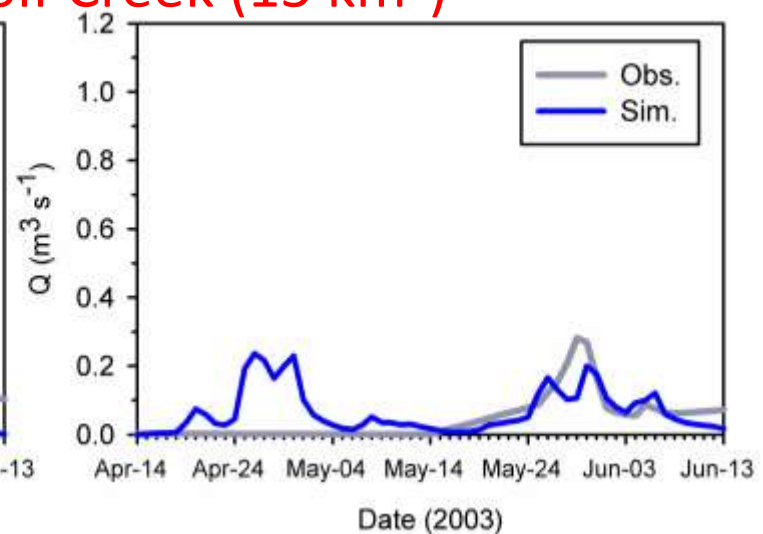
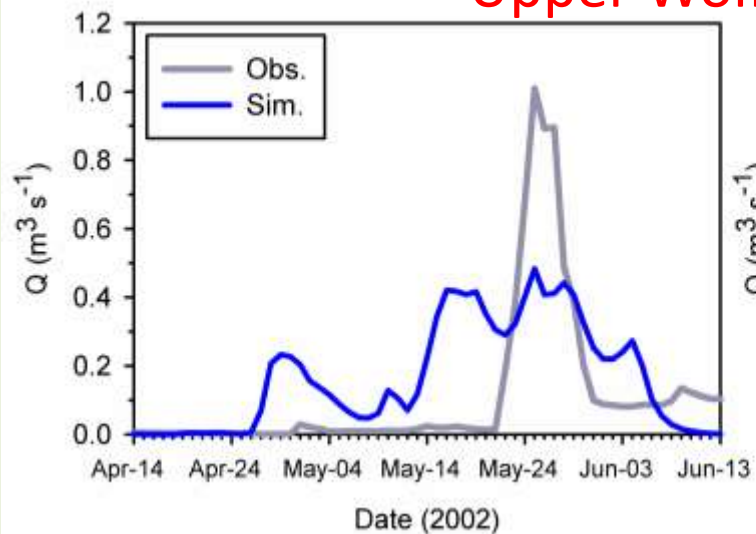


# DISTRIBUTED VALIDATIONS OF STREAMFLOW SIMULATIONS

## Granger Basin (8 km<sup>2</sup>)

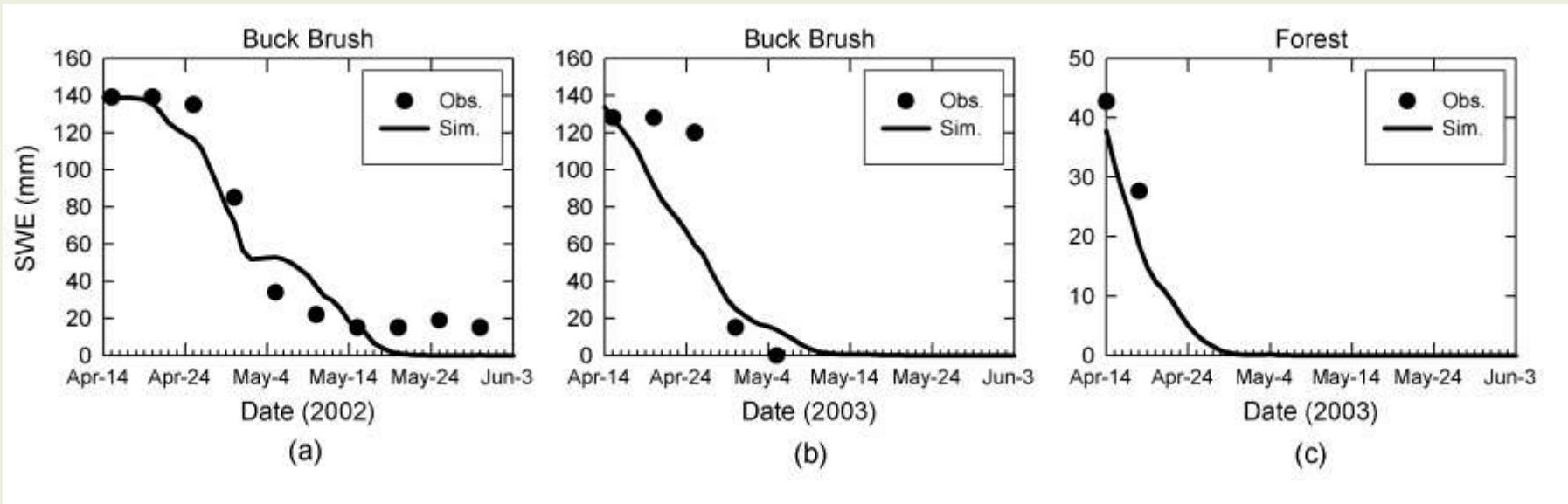


## Upper Wolf Creek (15 km<sup>2</sup>)

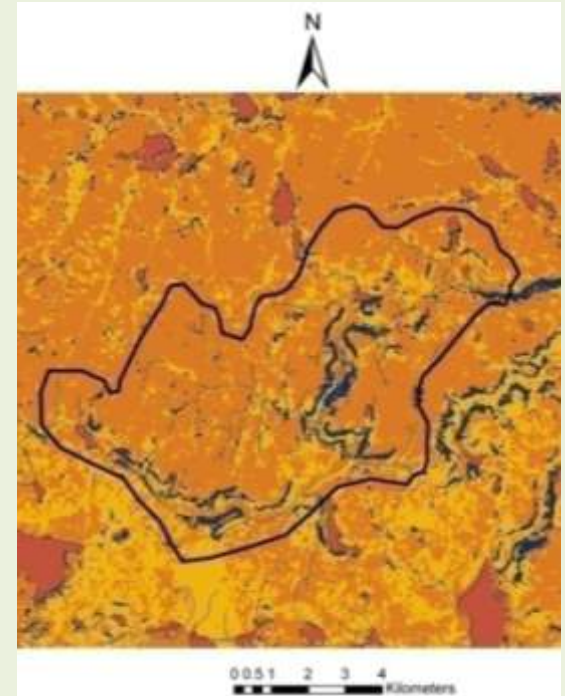


# DISTRIBUTED VALIDATIONS OF SNOWCOVER ABLATION

Wolf Creek Reserach Basin

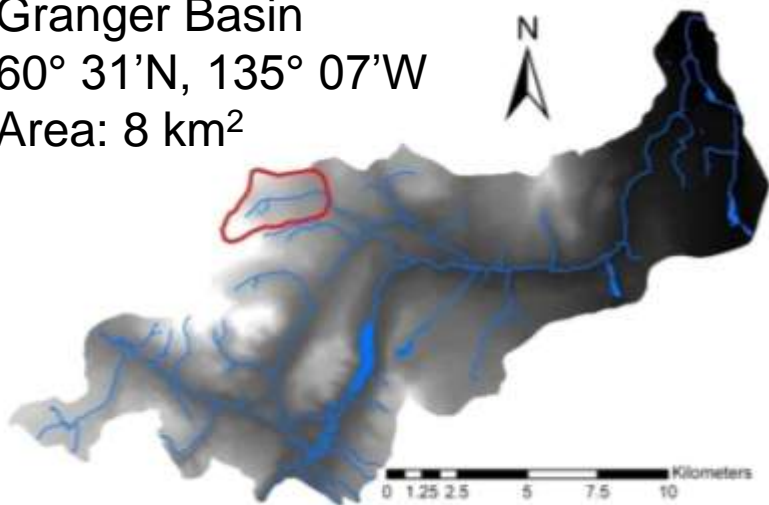


# PREDICTIVE UNCERTAINTY



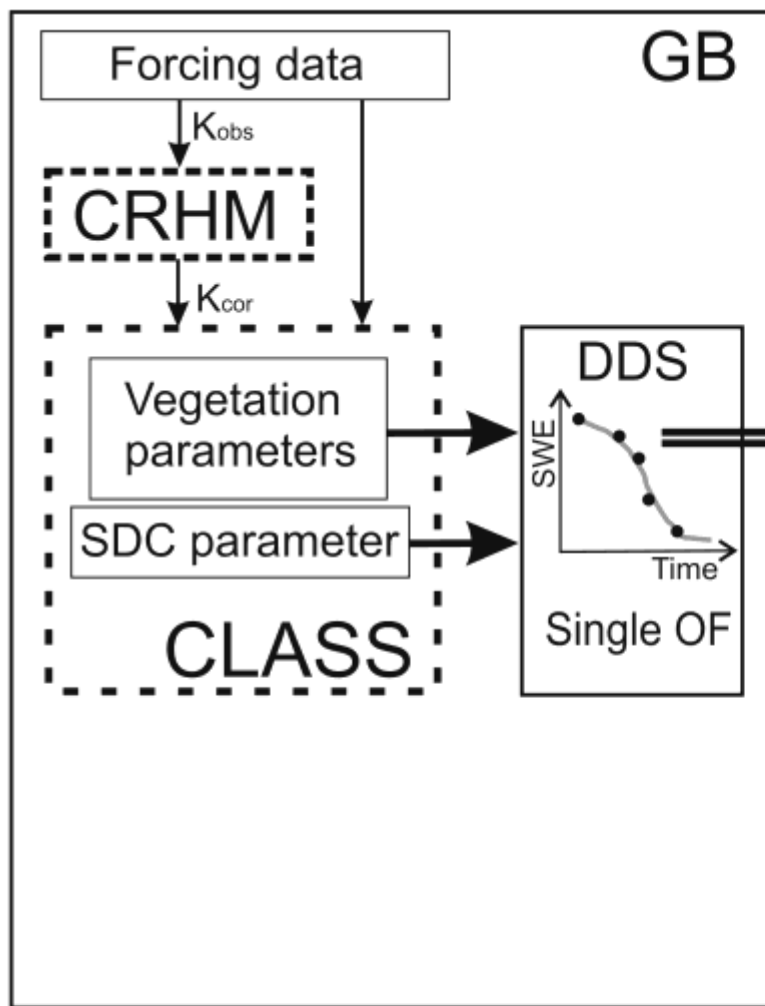
TVC Basin  
68° 45'N, 133° 30'W  
Area: 63 km<sup>2</sup>

Granger Basin  
60° 31'N, 135° 07'W  
Area: 8 km<sup>2</sup>

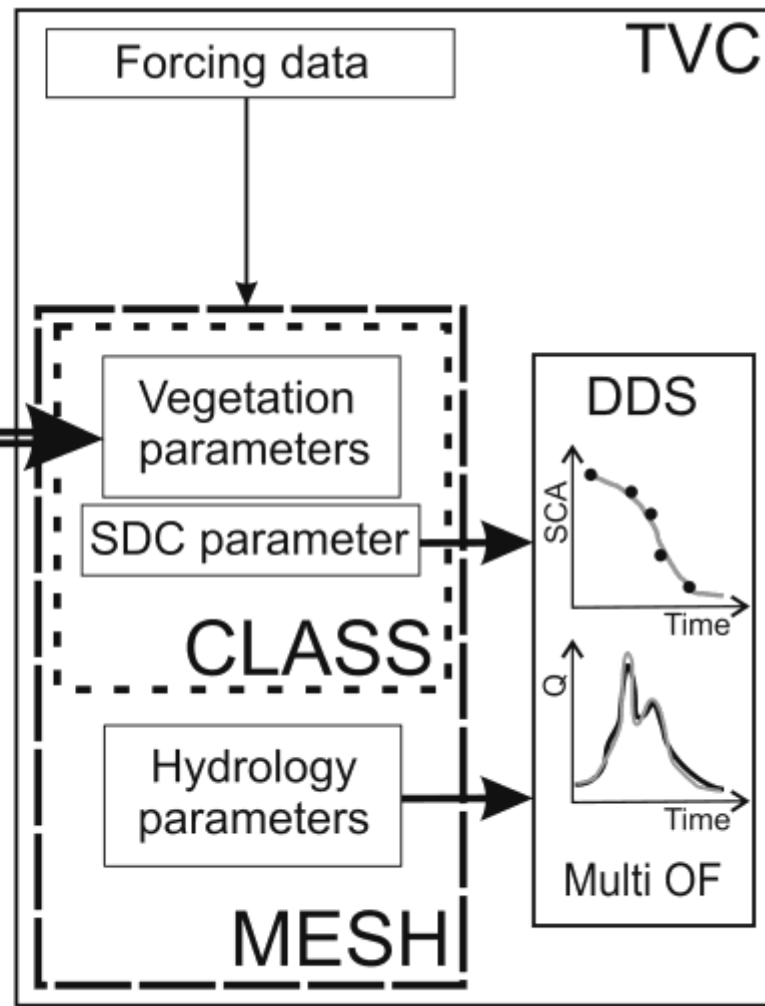




# LANDSCAPE BASED APPROACH TO REGIONALISATION

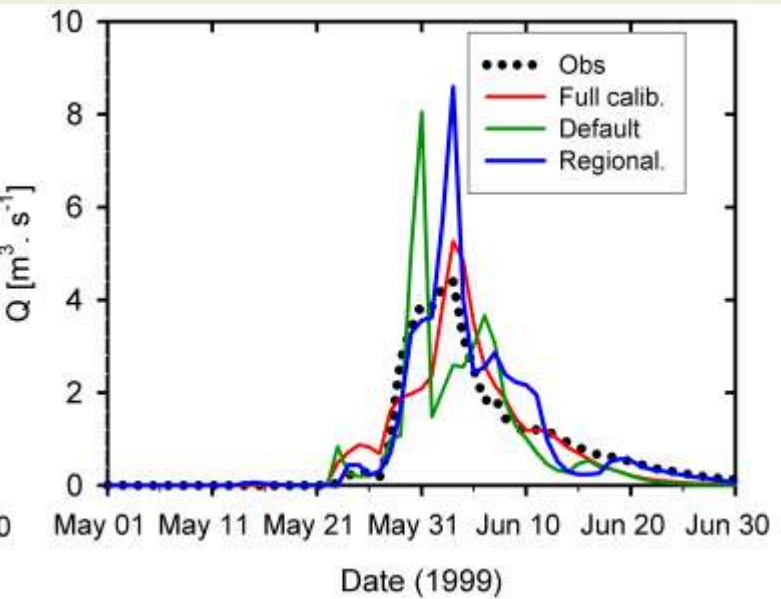
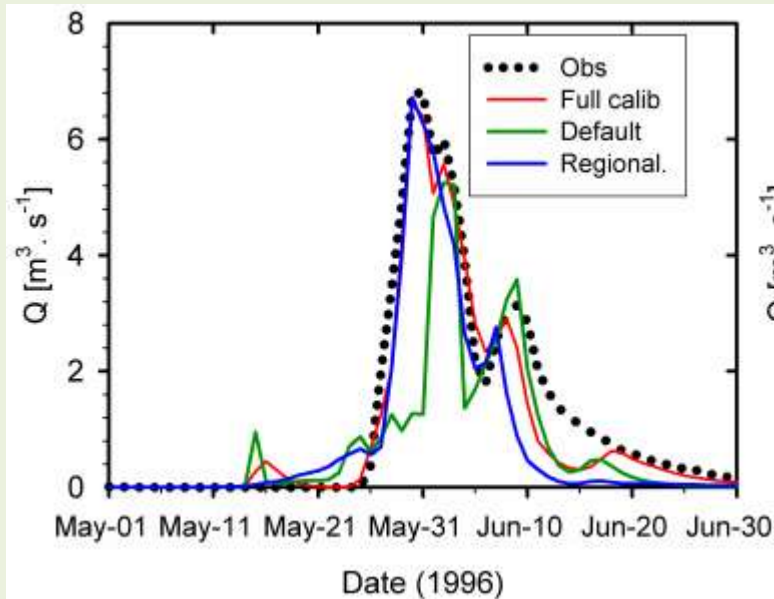
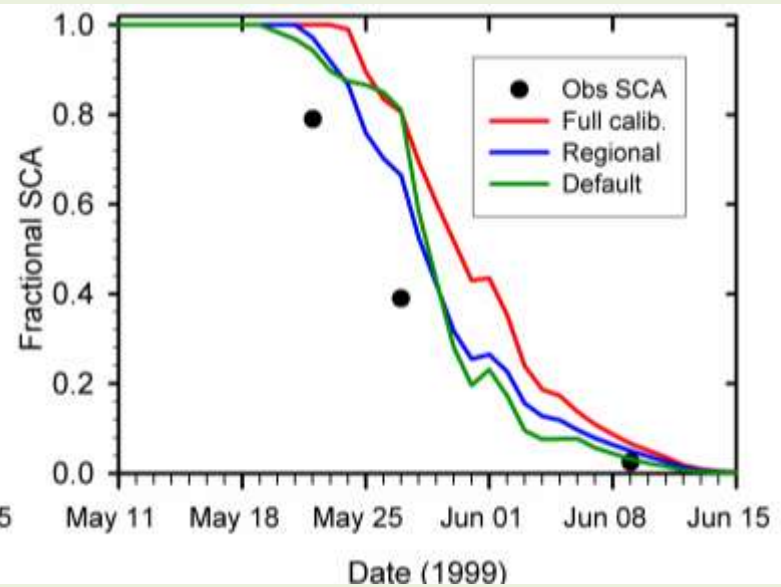
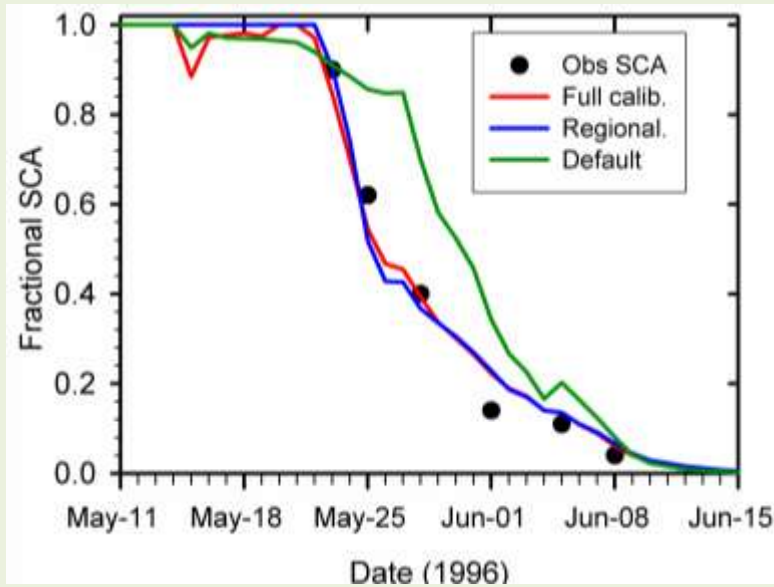


(a)



(b)

# LANDSCAPE BASED APPROACH TO REGIONALISATION





# CONCLUSIONS

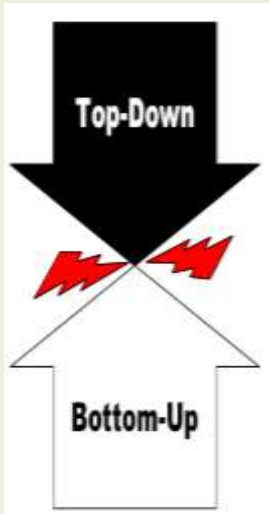
- The combination of deductive (BU) and inductive (TD) modelling approaches is an useful methodology for effectively representing and conceptualising landscape heterogeneity in sub-arctic environments.
- It is an modelling approach that learn from the capabilities of the BU in describing detail processes to somehow simplify landscape heterogeneity using an holistic TD approach.
- Landscape-based parameter can be transferred to similar landscapes in regional basins if physically based models are used, therefore reducing the predictive uncertainty of hydrological and LSS models in ungauged basins.
- Explicit landscape representations improve model predictions.
- Inadequate or unrepresentative initial snowcover conditions and forcing data caused unsatisfactory model predictions.

# CONTRIBUTIONS

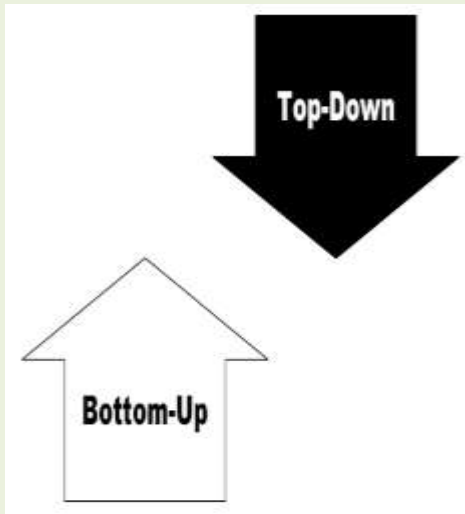
- **Research implications:**
  - Development of a new modelling strategy for simulating snowcover ablation and snowmelt runoff in subarctic mountainous environments.
  - Verification that the representation of melt based on average energy flux, snow state, and flat-plane conceptualisation is not always appropriate.
- **Practical Implications:**
  - The need for incorporation of blowing snow process to properly set the initial snow cover conditions.
  - The need for incorporation of differential forcing
  - Landscape basin segmentation / landcover based parameterisation necessary to reduce predictive uncertainty

# MODELLING PHILOSOPHY

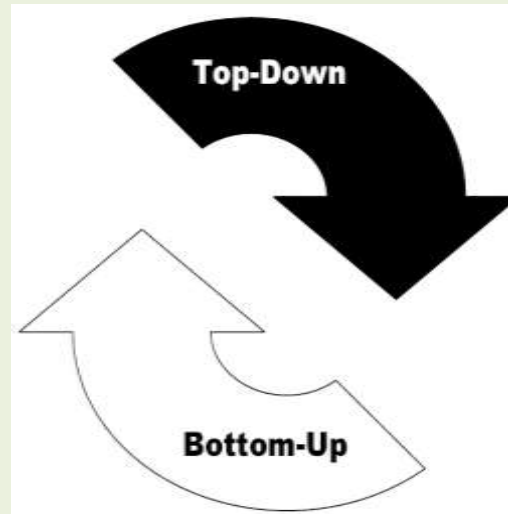
Two  
irreconcilable  
approaches



Two  
complementary  
approaches



Two  
approaches  
working together



Two  
approaches in  
fully harmony



© 2009 Vincenzo Arrichiello

A photograph of a snowy mountain landscape. The scene shows a wide, snow-covered valley with several rounded peaks and ridges. The snow is bright white, and there are some dark patches of vegetation or rock visible on the lower slopes. The sky is overcast and grey. The text "Thank you" is overlaid in the center of the image in a bold, yellow, sans-serif font.

**Thank you**