

Hydrological process change with air temperature over the Lena Basin in Siberia

B. YE¹, D. YANG², T. ZHANG³, Y. ZHANG⁴ & Z. ZHOU¹

¹ State Key Laboratory of Cryospheric Sciences, Cold & Arid Regions Environmental and Engineering Research Institute (CAS), Lanzhou, China
yebs@lzb.ac.cn

² Water and Environment Research Center, University of Alaska Fairbanks, Fairbanks, USA

³ National Snow and Ice Data Center, Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, Colorado, USA

⁴ Institute of Tibetan Plateau Research, Chinese Academy of Sciences

Abstract We use long-term monthly discharge and sub-basin air temperature data in the Lena River to examine the relationship between hydrological processes and permafrost change. The ratio of the maximum to minimum monthly discharge (Q_{max}/Q_{min}) decreased, while the recession coefficient in the cold season (Q_{apr}/Q_{dec} , discharge in April vs discharge in November) increased over the upper Lena and Aldan sub-basin during 1936 to 2000. The annual basin air temperature (AT) has increased from 1940 to 2000. There is a significant relationship between Q_{max}/Q_{min} , Q_{apr}/Q_{dec} and AT. The positive relationship between Q_{apr}/Q_{dec} and AT, and the negative relationship between Q_{max}/Q_{min} and AT became significant from a single year to 7-year running average. These results suggest that the Q_{max}/Q_{min} and Q_{apr}/Q_{dec} changes may be related to the basin warming and perhaps permafrost degradation.

Key words hydrology; permafrost; temperature; Siberia

INTRODUCTION

In cold regions, the hydrological regime is closely related to permafrost conditions, such as permafrost extent and thermal characteristics. Permafrost has a very low permeability and commonly acts as a barrier to infiltration or as a confining layer to aquifers. Because it is a barrier to infiltration, permafrost increases the surface runoff and reduces subsurface flow. Permafrost extent over a region plays a key role in the distribution of surface–subsurface interaction (Carey & Woo, 2001; Lemieux *et al.*, 2008; Woo *et al.*, 2008). Permafrost and non-permafrost rivers have very different hydrological regimes. Relative to non-permafrost basins, permafrost watersheds have higher peak flow and lower base flow (Woo, 1986; Kane, 1997). In the permafrost regions, watersheds with higher permafrost coverage have lower subsurface storage capacity and thus a lower winter runoff and a higher summer peak flow (Woo, 1986; Kane, 1997; Yang *et al.*, 2003). There exists a significant positive relationship between the ratio of maximum to minimum monthly discharge (Q_{max}/Q_{min}) and basin permafrost coverage over the Lena River. This relationship indicates that permafrost condition does not significantly affect streamflow regime over the low permafrost (less than 40%) regions, but strongly affects the discharge regime for regions with high permafrost (greater than 60%) (Ye *et al.*, 2009).

The ratio of Q_{max}/Q_{min} decreased during 1937–2000 for the Lena River. The recession coefficient (RC, ratio of April to December discharge) during the cold season increased from 1937 to 2000 in the main branches of the Lena River without reservoir regulation (Ye *et al.*, 2009). These changes may be related to permafrost degradation. This study analyses the relationship between the Q_{max}/Q_{min} , RC, and basin air temperature. It is difficult to accurately determine changes in permafrost distribution. We therefore use the basin air temperature to reflect permafrost condition changes. The objective of this study is to explore the effect of the permafrost degradation on hydrological processes and their changes.

BASIN DESCRIPTION, DATA SETS AND METHOD OF ANALYSIS

The Lena River originates from the Baikal Mountains in the south central Siberian Plateau and flows northeast and north into the Arctic Ocean (Fig. 1). Relative to other large rivers, this basin

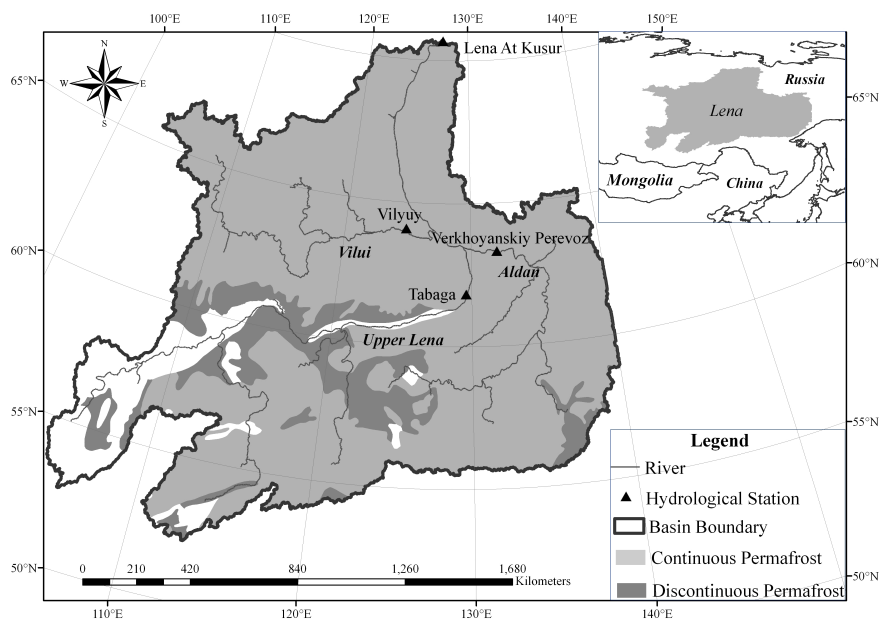


Fig. 1 Lena River basin map with the basin boundary and permafrost distribution.

has fewer human activities and much less economic development (Dynesius & Nilsson, 1994). There is only one large reservoir in the Vilui sub-basin; a large dam (storage capacity 35.9 km^3) and a power plant were completed in 1967 near the Chernyshevskiy ($112^\circ 15' \text{W}$, $62^\circ 45' \text{N}$) (Ye *et al.*, 2003). The other two main branches, the upper Lena and Adam sub-basins, are affected little by human activities.

The drainage areas from the 1-km DEM match well to those reported by the Pan-Arctic River Discharge Database (Lammers *et al.*, 2001), with the relative errors being $<15\%$ for the sub-basins. The coverage of permafrost in a basin is defined as the weighed average of the four different types of permafrost. Considering the ranges of permafrost coverage, we use the mean coverage as representative coverage for each permafrost type, i.e. 95%, 70%, 30% and 5% permafrost coverage in continuous, discontinuous, isolated and sporadic areas, respectively. Variations from the mean CP are $\pm 5\%$, $\pm 20\%$, $\pm 20\%$ and $\pm 5\%$ for continuous areas, discontinuous areas, isolated areas, and sporadic permafrost, respectively. The upper Lena basin at Tabaga is covered by 72% permafrost and the Adam basin at Verkhoyanskiy Perevoz is covered by 92% permafrost (Table 1, Fig. 1).

Since the late 1930s hydrological observations in the Siberian regions, such as discharge, stream water temperature, river-ice thickness, dates of river freeze-up and break-up, have been carried out systematically by the Russian Hydrometeorological Services; the observational records were quality-controlled and archived by the same agency (Shiklomanov *et al.*, 2000). The discharge data are now available from the R-ArcticNet (v4.0) – a database of Pan-Arctic river discharge during 1936–2000 (Lammers *et al.*, 2001). In this analysis, we use the long-term monthly discharge records collected at Tabaga station in upper Lena and Verkhoyanskiy Perevoz station in Aldan sub-basin outlet (Fig. 1). Relevant information for these stations is given in Table 1.

Table 1 List of hydrological stations used in this study.

Station name/ Location	Latitude °N	Longitude °E	Data period	Drainage area ($\times 1000 \text{ km}^2$)	Annual runoff (km^3)	(mm)
Tabaga/Upper Lena	61.83	129.6	1936–1999	897	221.0	246.4
Verkhoyanskiy Perevoz/ Aldan sub-basin outlet	63.32	132.02	1942–1999	696	166.0	238.5

The methods of analyses include calculation of monthly mean discharge and hydrographs for the Tabaga station in upper Lena and the Verkhoyanskiy Perevoz station in Aldan sub-basin, determination of the ratio of monthly maximum to minimum flows (Q_{max}/Q_{min}), and the recession coefficient (RC, ratio of April to December discharge) during the cold season. We also use monthly air temperature (AT) data (Jones, 1994) for the Siberian regions, and calculate the basin mean values for the upper Lena, and Aldan sub-basins. Based on these data, we carry out analyses of changes in hydrological parameters (Q_{max}/Q_{min} and RC) and their relationships with basin mean temperature during 1937–2000.

RESULTS

It is difficult to directly investigate the linkage between discharge and permafrost change over a large basin, because of the difficulty of identifying the permafrost distribution and change. Here we use the basin mean air temperature, instead of the basin permafrost change to examine the linkage between hydrological processes and permafrost changes.

Relationship between Q_{max}/Q_{min} and basin air temperature

Figure 2 shows the Q_{max}/Q_{min} and annual mean basin air temperature (AT) during 1937–2000 at the upper Lena and Aldan basins. There is a significantly negative relationship in the annual scale and more significant one for the 5-year moving average. Usually, air temperatures directly affect evaporation and snow melt, and consequently the hydrological regime. Air temperature can also directly affect permafrost distribution, which influences the hydrological regime in the permafrost basin (Ye *et al.*, 2009). Increases in temperature lead to permafrost degradation, consequently more infiltration of surface water and a flat discharge regime. The temporal relationship between Q_{max}/Q_{min} and AT during 1937–2000 (Fig. 2) shows a similar result to the spatial comparison between Q_{max}/Q_{min} and permafrost coverage in the Lena basin (Ye *et al.*, 2009).

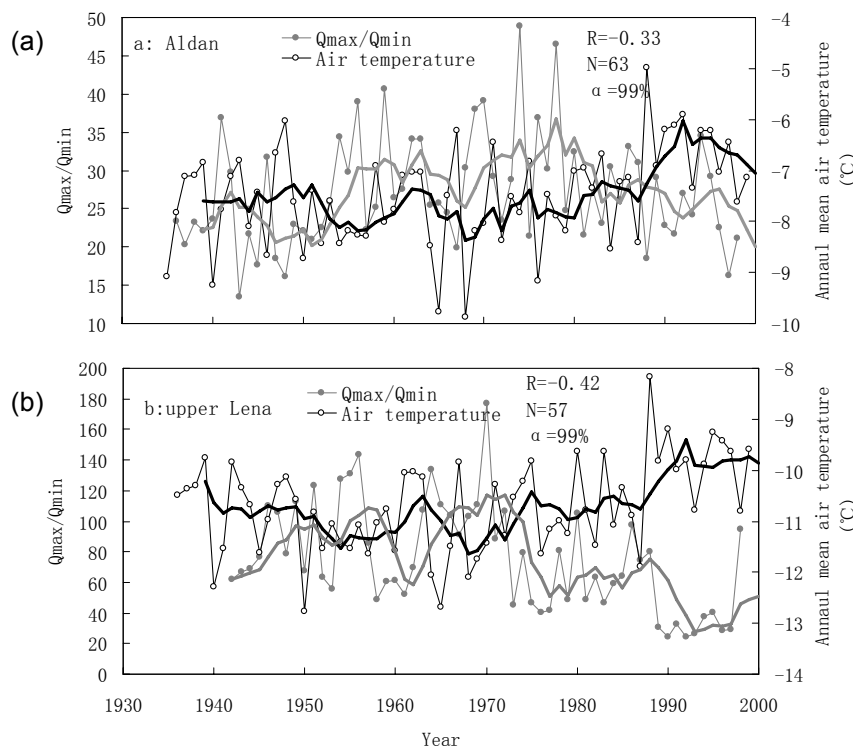


Fig. 2 The ratio of maximum vs minimum monthly discharge (Q_{max}/Q_{min}) and annual basin mean air temperature for: (a) Tabaga/Upper Lena and, and (b) Verkhoyanskiy Perevoz/Aldan sub-basin outlet, during 1936–2000 (The broad line is 5-year moving average).

Permafrost degradation is a slow response to climate change. Annual scale analyses may not reflect the relationship between climate change and permafrost.

The 1-year, 3-year, 5-year and 7-year moving average of basin temperature and Q_{\max}/Q_{\min} are used in the study. Table 2 shows the correlation coefficients of AT vs Q_{\max}/Q_{\min} , and AT vs $Q_{\text{apr}}/Q_{\text{dec}}$ for the two sub-basins. The correlation coefficient increases as the moving average period increases. All relationships using the 7-year moving average are significant at 99% level. This result indicates slow responses of hydrological processes to climate warming and perhaps permafrost change.

Table 2 The correlation coefficient of annual basin mean air temperature with Q_{\max}/Q_{\min} and $Q_{\text{apr}}/Q_{\text{dec}}$, for 1-year, 3-year, 5-year and 7-year moving average.

Sub-basin	Parameter	1-year	3-year	5-year	7-year
Upper Lena	Q_{\max}/Q_{\min}	-0.33**	-0.37**	-0.33*	-0.37**
	$Q_{\text{apr}}/Q_{\text{dec}}$	0.26*	0.25*	0.27*	0.34**
	N	63	61	59	57
Aldan	Q_{\max}/Q_{\min}	-0.42**	-0.72**	-0.83**	-0.89**
	$Q_{\text{apr}}/Q_{\text{dec}}$	0.30*	0.65**	0.82**	0.88**
	N	57	55	53	51

Note: * and ** indicate 95% and 99% significant levels, respectively. N is sample number.

Relationship between recession coefficient and basin temperature.

The hydrological process is mainly a recession in the cold season from December to April without rain supply. The recession is controlled by the water released from the ground water reservoir. The ratio of April to December discharge ($Q_{\text{apr}}/Q_{\text{dec}}$) is defined as the recession coefficient. Figure 3 shows the annual basin mean temperature and $Q_{\text{apr}}/Q_{\text{dec}}$ during 1936–2000. There is a good

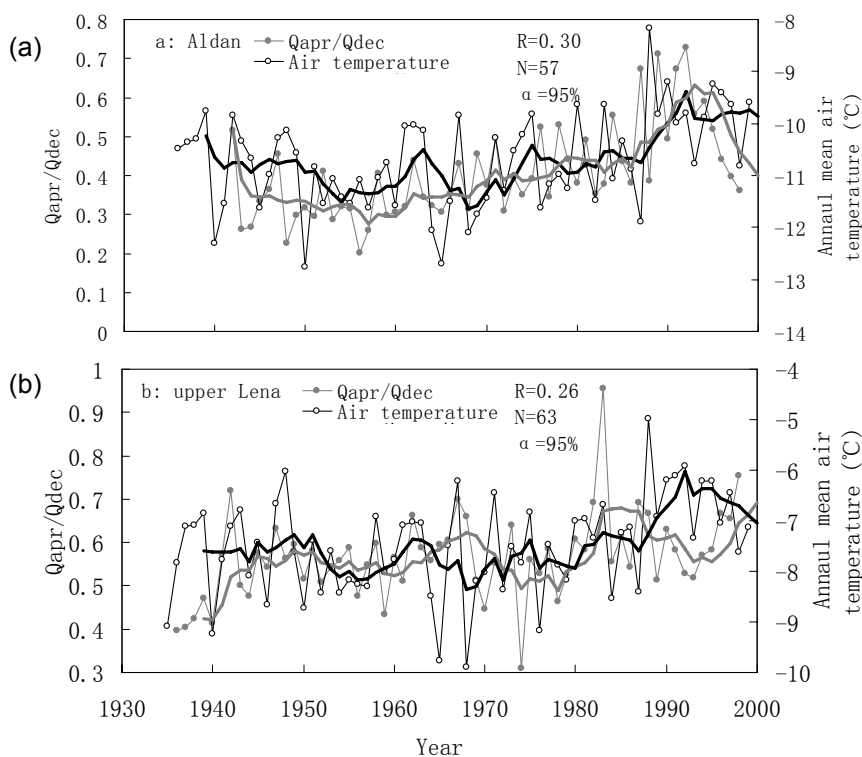


Fig. 3 The recession coefficient in cold season ($Q_{\text{apr}}/Q_{\text{dec}}$) and annual basin mean air temperature at: (a) Tabaga/Upper Lena, and (b) Verkhoyanskiy Perevoz/Aldan sub-basin outlet during 1936–2000 (the broad line is 5-year moving average).

relationship between them on annual scale, 95% significant level. The 5-year moving average is more consistent. This result indicates the discharge recession would become slow as climate is warming up. The large coefficient implies large capacity and regulation of the groundwater reservoir, which may be caused by permafrost degradation, especially permafrost disappearance in a warming climate. Permafrost degradation does not enhance the infiltration rates, but enlarges the infiltration area, and consequently the groundwater reservoir capability. Similar results have also been found in some rivers with permafrost distribution in northwest China (Niu *et al.*, 2011).

The 1-year, 3-year, 5-year and 7-year moving average of annual basin mean air temperature and Q_{apr}/Q_{dec} have been analysed (Table 2). The result is similar to that of Q_{max}/Q_{min} and AT. This again indicates the permafrost degradation is a slow response to climate change, and the hydrological parameters caused by permafrost change also slowly respond.

CONCLUSION

Monthly discharge and annual basin mean temperature data are used to examine the relationship between discharge process and climate change over the Lena River in Siberia. The ratio of maximum to minimum discharge (Q_{max}/Q_{min}) has decreased over time, while the recession coefficient (Q_{apr}/Q_{dec}) in the cold season has increased in the two branches – upper Lena and Aldan – during 1936–2000 (Ye *et al.*, 2009). These results suggest hydrological process change due to climate change and permafrost degradation. The annual basin mean air temperature increased from 1940 to 2000. There is a significant relationship between Q_{max}/Q_{min} vs AT, and Q_{apr}/Q_{dec} vs AT. The positive relationship between Q_{apr}/Q_{dec} and AT, and the negative relationship between Q_{max}/Q_{min} and AT gradually become significant from the annual scale to the 7-year mean. These results imply that both the Q_{max}/Q_{min} and Q_{apr}/Q_{dec} change may be related to climate warming and perhaps permafrost degradation. Permafrost degradation means that the impermeable stratum of the permafrost will disappear and lead to more surface water to infiltrate to groundwater. Permafrost degradation also extends the infiltration area and enlarges the groundwater reservoir, enhances reservoir regulation, and slows the recession process. A warmer climate will lead to a flatter discharge regime in cold regions.

Acknowledgements This work was supported by the National Key Basic Research program of China (2010CB951404), Hundred Talents Program of Chinese Academy of Sciences and US National Science Foundation grant (ARC-0612334).

REFERENCES

- Bliss, N. B. & Olsen, L. M. (1996) Development of a 30-arc-second digital elevation model of South America. In: *Pecora Thirteen, Human Interactions with the Environment – Perspectives from Space* (Sioux Falls, South Dakota, August 1996).
- Brown, J., Ferrians, O. J. Jr, Heginbottom, J. A. & Melnikov, E. S. (1997) Circum-Arctic map of permafrost and ground-ice conditions. US Geological Survey in Cooperation with the Circum-Pacific Council for Energy and Mineral Resources. Circum-Pacific Map Series CP-45, scale 1:10 000 000, 1 sheet. Washington, DC, USA.
- Brown, J., Ferrians, O. J. Jr, Heginbottom, J. A. & Melnikov, E. S. (2001) Circum-Arctic map of permafrost and ground-ice conditions. National Snow and Ice Data Center/World Data Center for Glaciology. Digital Media. Boulder, Colorado, USA.
- Carey, K., & Woo, M. (2001) Slope runoff processes and flow generation in a subarctic, subalpine catchment. *J. Hydrol.* **253**, 110–129.
- Dynesius, M. & Nilsson, C. (1994) Fragmentation and flow regulation of river systems in the northern third of the world. *Science* **266**, 753–762.
- Frauenfeld, O. W., Zhang, T., Barry, R. G. & Gilichinsky, D. (2004) Interdecadal changes in seasonal freeze and thaw depths in Russia. *J. Geophys. Res.* **109**, D05101, doi:10.1029/2003JD004245.
- Jones, P. D. (1994) Hemispheric surface air temperature variations: a reanalysis and an update to 1993. *J. Climate* **7**, 1794–1802.
- Kane, D. L. (1997) The impact of Arctic hydrologic perturbations on Arctic ecosystems induced by climate change. In: *Global Change and Arctic Terrestrial Ecosystems, Ecol. Studies* **124**, 63– 81. Springer-Verlag, New York, USA.
- Lammers, R., Shiklomanov, A., Vorosmarty, C., Fekete, B. & Peterson, B. (2001) Assessment of contemporary arctic river runoff based on observational discharge records. *J. Geophys. Res.* **106**(D4), 3321–3334.

- McClelland, J. W., Holmes, R. M., Peterson, B. J. & Stieglitz, M. (2004) Increasing river discharge in the Eurasian Arctic: Consideration of dams, permafrost thaw, and fires as potential agents of change. *J. Geophys. Res.* **109**, D18102, doi:10.1029/2004JD004583.
- Niu, L., Ye, B., Li, J. & Yu, S. (2011) Effect of permafrost degradation on hydrological processes in typical basins with varying permafrost coverage in Western China. *Science China (Earth Science)* **54**(4), 615–624, doi: 10.1007/s11430-010-4073-1 .
- Smith, L. C., Pavelsky, T. M., MacDonald, G. M., Shiklomanov, A. I. & Lammers, R. B. (2007), Rising minimum daily flows in northern Eurasian rivers: A growing influence of groundwater in the high-latitude hydrologic cycle. *J. Geophys. Res.* **112**, G04S47, doi:10.1029/2006JG000327.
- Shiklomanov, I. A., Shiklomanov, A. I., Lammers, R. B., Peterson, B. J. & Vorosmarty, C. J. (2000) The dynamics of river water inflow to the Arctic Ocean. In: *The Freshwater Budget of the Arctic Ocean* (ed. by E. L. Lewis et al.), 281–296. Springer, New York, USA.
- Woo, M.-K. (1986) Permafrost hydrology in North America. *Atmos. Ocean* **24**(3), 201–234.
- Woo, K., Kane, D., Carey, S. & Yang, D. (2008) Progress in permafrost hydrology in the new millennium. *Permafrost and Periglacial Processes* **19**, 237–254.
- Yang, D., Robinson, D., Zhao, Y., Estilow, T. & Ye, B. (2003) Streamflow response to seasonal snow cover extent changes in large Siberian watersheds. *J. Geophys. Res.* **108**(D18), 4578, doi:10.1029/2002JD003149.
- Ye, B., Yang, D. & Kane, D. L. (2003) Changes in Lena River streamflow hydrology: human impacts versus natural variations. *Water Resour. Res.* **39**(7), 1200, doi:10.1029/2003WR001991.
- Ye, B., Yang, D., Zhang, Z. & Kane, D. L. (2009) Variation of hydrological regime with permafrost coverage over Lena Basin in Siberia. *J. Geophys. Res.* **114**, D07102, doi:10.1029/2008JD010537.
- Zhang, T., R. G. Barry, G., Knowles, K., Heginbottom, J. A. & Brown, J. (1999) Statistics and characteristics of permafrost and ground-ice distribution in the Northern Hemisphere. *Polar Geogr.* **23**(2), 132–154.